UNIFINZ CAPITAL INDIA LIMITED

FAMILIARISATION PROGRAMME FOR INDEPENDENT DIRECTORS

Objective

The Companies Act, 2013, read with Schedule IV thereof and the rules made thereunder, as amended ("Companies Act"), read with Regulation 25(7) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), requires every listed entity to familiarise its Independent Directors with the Company, their roles, rights, responsibilities, the nature of the industry in which the Company operates, the business model of the Company and other relevant matters.

Accordingly, UNIFINZ CAPITAL INDIA LIMITED ("the Company") has put in place this Familiarisation Programme to enable Independent Directors to understand the Company's business, governance framework, strategic objectives and regulatory environment so that they can effectively discharge their duties and responsibilities.

Familiarisation Process and Details

The appointment of every Independent Director is formalised through a Letter of Appointment setting out the terms and conditions of appointment, role, duties, responsibilities and expectations.

The Company conducts orientation programmes, presentations and familiarisation sessions at the time of appointment and thereafter on a continuous basis.

Independent Directors interact with the Chief Executive Officer, Chief Financial Officer, Company Secretary & Compliance Officer and Senior Management to gain an understanding of the Company's operations, strategy and governance framework.

Business presentations are made during Board and Committee Meetings covering operational performance, business strategy, financial results, risk management, internal controls, compliance, regulatory developments and corporate governance matters.

Independent Directors are periodically updated on amendments to the Companies Act, SEBI Listing Regulations, RBI Directions applicable to NBFCs and other applicable laws.

Where considered appropriate, Independent Directors may attend external seminars, conferences and training programmes conducted by professional institutes and regulatory bodies.

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Innovation
Trust
Growth
Integrity
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Details of Familiarisation Programme

- Strategy meetings of the Board to discuss business plans, future prospects and strategic initiatives.
- Presentations on quarterly and annual financial performance.
- Updates on regulatory developments under the Companies Act, SEBI Listing Regulations and RBI Directions.
- Presentations on business model, operational performance and growth opportunities.
- Sessions on Corporate Governance, Risk Management Framework and Internal Financial Controls.
- Information Security and Cyber Security awareness sessions.
- Interaction with Senior Management on business operations.
- Visits to branches or operational locations, wherever considered necessary.

Disclosure

The details of the familiarisation programmes imparted to Independent Directors, including the number of programmes attended and hours spent, shall be disclosed on the Company's website and a web-link shall be provided in the Annual Report in accordance with Regulation 46 of the SEBI Listing Regulations.

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